EXPRESS MAIL NO. EV	574489826 US
Attorney Docket	BERK-010CIP
First Named Inventor	MANNING JR., WILLIAM C.
Application Number	09/665,493
Filing Date	September 20, 2000
Group Art Unit	1632
Examiner Name	TON, THAIAN N.
Title: "USE OF RECOMBINANT GENE DELIVERY VECTOR FOR TREATING OR PREVENTING DISEASES OF THE EYE"	
	First Named Inventor Application Number Filing Date Group Art Unit Examiner Name Title: "USE OF RECE FOR TREATIN

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From-Intellectual Property Department

whose address is: Bozicevic, Field & Francis LLP, 1900 University Avenue, Suite 200, East Palo Alto, California 94303 as its attorney(s) or agent(s) to prosecute the application identified above, to prepare and file amendments, to inspect and make copies thereof and of any papers in any appellate or inter partes proceedings in which the Application may be or become involved, and generally to conduct all business in the United States Patent and Trademark Office relating to the prosecution of the application or any application that claims priority from this application.

Please change the correspondence address for the above-identified application to the abovementioned customer number.

## STATEMENT UNDER 37 CFR § 3.73(b)

In accordance with 37 CFR §3.73(b) I hereby certify that I am empowered to act on behalf of the Assignee of the above-identified patent application. The Assignment was recorded with the U.S. Patent Office on March 5, 2001 at Reel 011592, Frames 0338 to Chiron Corporation.

I declare that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code §1001 and that such willful false statements may jeopardize the validity of the above referenced application or

<u> </u>	application application of any patent resulting from that application.
X_ Ass	plicant; or ignee of record of the entire interest orney of record
	SIGNATURE of Applicant, Assignee or Attorney of Record
Name	Alisa A. Harbin
Signature	an con
Date	17 & Feb 05

		express mail no. EV 57	4489824 US
DEVOC	CATION OF POWER OF	Attorney Docket	BERK-010CIP
	ORNEY/POWER OF	First Named Inventor	MANNING JR., WILLIAM C.
ATTORNEY OR		Application Number	09/665,493
	RIZATION OF AGENT	Filing Date	September 20, 2000
	OTPE	Group Art Unit	1632
Address to: Mail Stop		Examiner Name	TON, THAIAN N.
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		Title: "USE OF RECOMBINANT GENE DELIVERY VECTORS FOR TREATING OR PREVENTING DISEASES OF THE EYE"	
I her	eby revoke all previous powers of atto	rney or authorizations of ag	ent given in the above-identified
application <u>a</u>	nd hereby appoint Practitioners at:		
₩	24353		
or any applica	e change the correspondence address for	ation.	relating to the prosecution of the application
<u> </u>	STATEME	NT UNDER 37 CFR § 3.7	3(b)
above-identif	pecordance with 37 CFR §3.73(b) I hereby led patent application. The Assignment to	was recorded with the U.S. Pa	to act on behalf of the Assignee of the tent Office on
I dec	clare that these statements are made with	the knowledge that willful faltle 18. United States Code §10	JOI and that such willful laise statements
X Ass	olicant; or ignee of record of the entire interest orney of record		
	SIGNATURE of Ap	plicant, Assignee or Attorn	ney of Record
Name	Compl. Warner Mr. D. D. D.	0661£ m1	analogy Liegystes
Signature	Carol Mimura, Ph.D., Dire	ctor, utilce of Tech	anorogy meaning
	James -		
Date	2/15/05		

## **ASSIGNMENT**

WHEREAS, we, Laura H. McGee, Dana Lau, John G. Flannery, Sheldon S. Miller, Fei Wang and Adriana Di Polo (hereinafter referred to as ASSIGNORS), having post office addresses of 652 54th Street, Oakland, California 94609; 280 Lenox Avenue, Apt. A, Oakland, California 94610; 1728 Marin Avenue, Berkeley, California 94707; 186 Hillcrest Road, Berkeley, California, 94705; 981 9th Street, Albany, California 94710; and 704 Champagneur Avenue, Outremont, Quebec, Canada H2V 3P8, respectively, are the joint inventors with William C. Manning, Jr, Varavani J. Dwarki, Katherine Rendahl, and Shangzhen Zhou, of an invention entitled "USE OF RECOMBINANT GENE DELIVERY VECTORS FOR TREATING OR PREVENTING DISEASES OF THE EYE," as described and claimed in the specification for which an application for United States letters patent was filed on March 14, 2000 and assigned Application No. 09/525,956. This application claims priority to U.S. Provisional Application Nos. 60/124,460, filed March 15, 1999; and 60/174,984, filed January 6, 2000.

WHEREAS, The Regents of the University of California (hereinafter referred to as ASSIGNEE), an educational institution of the State of California having a place of business at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607-5200, is desirous of acquiring right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United

•	ent on said invention, for litigation regarding letters patent, or for the
purpose of protecting title to	said invention or letters patent therefor
Date 25 200	Laura H. McGee
State of California	)
County of <u>Hameda</u>	) ss.
I certify that	I-know or have satisfactory evidence that Laura H. McGee is the
	me, and said person acknowledged that she signed this instrumen
and acknowledged it to be histrument.	er free and voluntary act for the uses and purposes mentioned in the
	Dated July 25, 2000
BARBADA POGETAANI MANGGETA	Signature of O
BARBARA FORESMAN-MORRISEY Commission # 1219732 Notary Public - California	Notary Public Bashara Impomay-MonRusy
Alameda County My Comm. Expires May 21, 2003	Printed Name <u>Sarvava Foresman-Morrisey</u>
	My appointment expires May 21, 2000
July 25 2000	
Date	Dana Lau
State of Julifornia	
County of Alameda	) ss. )
I certify that	I-know or have satisfactory evidence that Dana Lau is the person
	and said person acknowledged that she signed this instrument and
acknowledged it to be her	free and voluntary act for the uses and purposes mentioned in the
instrument.	
	Dated July 25, 2000 Signature of
BARBARA FOREMAN MORRISEY	Signature of Notary Public Sathana Foxos man - Morresout
Commission # 1219732 Notary Public - California	
My Comm. Expires May 21, 2003	Printed Name Barbara Toresman-Morri sen
	My appointment expires May 21, 2006

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County of

Notary Public - California Contra Costa County

BARBARA FORESMAN-MORRISEY Commission # 1219732 Notary Public - California & Alarmeda County	Dated July 25,2000 Signature of Notary Public Lashara Jouesman - Morrison  My appointment expires May 2, 2000
BARBARA FORESMAN-MORRISEY Commission # 1219732 Notary Public - California & Alarmeda County	Signature of Notary Public Lashara Toresman - Morrison  Printed Name Barbara Foresman - Morrison
BARBARA FORESMAN-MORRISEY Commission # 1219732 Notary Public - California & Alarmeda County	Signature of Notary Public Lashara Toresman - Morrison  Printed Name Barbara Foresman - Morrison
Notary Public - California &	,
My Comm. Expires May 21, 2003	My appointment expires Way 21, 2000
-10.12	
136/00	Show mills
Date	Sheldon S. Miller
State of <u>California</u> County of <u>Alameda</u>	) ss.
I certify that I	I know or have satisfactory evidence that Sheldon S. Miller is
person who appeared before	me, and said person acknowledged that he signed this instrum
and acknowledged it to be his	is free and voluntary act for the uses and purposes mentioned in
instrument.	
	Dated
	Signature of Notary Public Jonathan Muellening

SS.

I certify that Lknow or have satisfactory evidence that John G. Flannery is the

Printed Name Junathan Moelle-ing

7/25/00		•
Date		Fei Wang
State of <u>California</u> County of <u>Hameda</u>		ss. Wayney
•		ve satisfactory evidence that Fei Wang is the person acknowledged that she signed this instrument a
acknowledged it to be her f	ree and volur	ntary act for the uses and purposes mentioned in t
instrument.	Dated.	aly 25,2000
BARDARA FOREMAN-MORRISEY		c Barbara for man-cumuser
Commission # 1219732 Notary Public - California Alameda County My Comm. Expires May 21, 2003	5	nent expires May 11, 9000
Date		Adriana Di Polo
Province of		<b>SS.</b>
Country of		
person who appeared before	me, and said	ave satisfactory evidence that Adriana Di Polo is I person acknowledged that she signed this instrum- cluntary act for the uses and purposes mentioned in
	Dated	
	Signature of Notary Publ	ic
	Printed Nam	ne
		ment expires
C:\Winword\DDM\9742\v1.doc (930049.488)		